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TITLE

Standing posture and balance modalities in unilateral transfemoral and transtibial amputees

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ABSTRACT

Introduction. Lower limb amputation impairs postural performance that could be characterized 3 by biomechanical parameters. This study is to investigate postural performance of persons with 4 5 transfemoral and transtibial amputation compared to controls without amputation. 6 Methods. Eight transfibial, nine transfemoral and twelve able-bodied males participated in this study. Lower limb joints, pelvis and trunk angles were obtained from an optoelectronic motion 7 analysis system to evaluate body posture parameters. The mean, range and speed of the center of 8 9 pressure (CoP) in both antero-posterior and medio-lateral axes as well as the ellipse area covered by 90% of CoP and free moment were calculated using a single force-plate. 10 Results and discussion. Differences in body posture were only noted between the non-amputee 11 12 and the transtibial groups. Transtibial amputees leaned more forwardly their trunk by 3.5° 13 compared to able-bodied (p=0.028). The mean CoP position in transferoral amputees was closer to the non-amputated side than transtibial amputees (p=0.034) and as compared to the dominant 14 side in non-amputees (p=0.042). Factor analysis revealed three postural performance modalities. 15 16 Non-amputees postural performance was characterized solely by body posture parameters. Transfemoral amputees exclusively favored a modality associated with standing balance 17 18 parameters, whereas transtibial amputees exhibited a mixed modality comprising a combination 19 of postural and balance parameters. 20 Conclusion. These findings support that the level of amputation is characterized by postural performance modalities different from non-amputees. Clinicians could apply this knowledge as 21 part of their routine rehabilitation program to enhance postural and standing balance assessments 22 23 in unilateral transfemoral and transtibial amputees.

25	Clinical	Relevance

- Rehabilitation programs focused on postural balance should be specific for persons with lower limb amputation based on the level of amputation
- Trunk plays a key role to identify the postural modalities in persons with transtibial amputation
- Both optoelectronic motion analysis system and force plate are recommended to improve
 the assessment of postural performance of persons with amputation

INTRODUCTION

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Upright postural body alignment and standing balance have long been a subject of interest in lower limb amputees (Fernie and Holliday, 1978). Postural assessment (Gaunaurd et al., 2011) and balance training (Buckley et al., 2002) are part of the clinician's routine evaluation to reduce the risk of chronic conditions associated with impairment of body structures and functions. However, balance control strategies in lower limb of different level of amputation are contradictory, and a comprehensive analysis is lacking (Ku et al., 2014). Transtibial amputees adjust their prosthetic alignment by balancing the upper part of the body over the center of pressure (CoP) of the prosthetic foot (Blumentritt et al., 1999). This could be attributed to differences in leg length, pelvic inclination and hip extension between their intact and amputated limbs (Gaunaurd et al., 2011). Standing imbalance was reported to be greater in transtibial amputees compared to transfemoral amputees (Fernie and Holliday, 1978) and generally amputees display greater postural sway than non-amputees (JG Buckley et al., 2002). Though Hermodsson et al. (Hermodsson et al., 1994) concluded that balance deficits were greater in a group of vascular amputees compared to trauma transtibial amputees, little was reported on their non-amputee control group. In contrast, no significant difference in standing balance was reported between transtibial amputees and non-amputees (Vittas et al., 1986). These divergent observations could be associated with stump length and patients' confidence level (Ku et al., 2014), but also with body posture adjustments concomitant to standing balance. Standing balance in transibial and transfemoral amputees and comparisons with able-bodied individuals have been well documented (John Buckley et al., 2002; Fernie and Holliday, 1978; Nederhand et al., 2012; Sadeghisani et al., 2016; Vrieling et al., 2008). However, their upright

body posture positioning other than prosthetic alignment (Kobayashi et al., 2014) has been

seldom addressed. In general, few have examined the interaction between body posture attitude and standing balance. Nault et al. (Nault et al., 2002) were among the first to report a strong interaction between body segment alignment angles and standing balance parameters, but for non-treated scoliotic girls. In amputees, global body posture was most often characterized by shift in the mean CoP position and its excursion towards the non-amputated side (Curtze et al., 2016; Hlavackova et al., 2011; Rougier and Bergeau, 2009). But no study, to our knowledge, has integrated trunk and lower-limb postural joint alignment with standing balance to estimate stance adjustment modalities in unilateral transtibial and transfemoral amputees. The interaction between body misalignment due to the amputation and postural disturbances could improve balance assessments and decrease the risk of falling in unilateral lower limb amputees. The objective of this study was to determine if unilateral lower limb amputees assume similar upright posture and standing balance to non-amputees and if the level of amputation (transfemoral and transtibial) affects these body balance modalities. The interaction between body misalignment due to the amputation and postural disturbances could improve balance assessments and decrease the risk of falling in unilateral lower limb amputees.

METHODS

Participants

Males with transfermoral (N=9) and transtibial (N=8) amputation and male controls without amputation (N=12) were recruited. Persons with amputation were active according to the amputee activity survey (Day, 1981) (Table 1). The exclusion criteria for the amputees were the presence of ulceration on the plantar surface of the non-amputated foot and/or the use of antipsychotic drugs, antidepressants or tranquilizers. For the able-bodied subjects, the exclusion

or orthopedic disorders; the use of antipsychotic medications, antidepressants or tranquilizers.

All participants gave their written informed consent to participate in the study. All procedures

are conformed to the Declaration of Helsinki and were approved by the local Ethics Committee

84 of [XXXX].

(Insert Table 1)

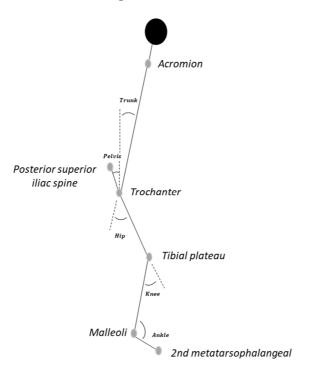
Experimental protocol

A 10 camera optoelectronic motion analysis system (Vicon 612, Oxford Metrics, UK), filmed the standing posture at a sampling frequency of 100 Hz. An eight-segment body model based on the International Society of Biomechanics joint coordinate standards (Wu, 2002) used to calculate body segment joint angles. The postural balance task was performed with the participant standing upright on a force plate (Kistler, Switzerland) barefooted to avoid influences of footwear on balance. The upper limbs were at each side of the trunk. Three trials of one minute were performed, separated by a 2-minute resting period. The force plate signals were recorded at 1000 Hz and filtered by a 10 Hz low-pass filter (2nd order Butterworth filter).

Data analysis

The mean natural trunk inclination was defined as the angle sustained by the line joining the midpoint between the greater trochanters and that of the acromions with respect to the vertical as shown in Figure 1. For the pelvis, hip, knee and ankle, the inclination was calculated in the sagittal plane only. The average pelvis inclination corresponded to the angle sustained by the line joining the midpoint between the greater trochanters and that of the posterior superior iliac spine with respect to the vertical. All joint angles were averaged for all three trials and for the dominant (D) and non-dominant (ND) sides of able-bodied individuals, and for the amputated

(A) and non-amputated (NA) sides for all amputees. For the sagittal plane, positive joint angles indicate a flexed position. Furthermore, positive angles on the frontal plane indicated a lateral bending to the right for able-bodied subjects or to the non-amputated side for the amputees. The right side was the dominant side (Chapman et al., 1987) for all the able-bodied participants.



The CoP was calculated from force plate data. Positive antero posterior (AP) and medio lateral (ML) values indicated that the CoP position was located forward and to the left side, respectively. Positive values corresponded to the non-dominant side for able bodied individuals and the amputated side for amputees. According to the coordinate system of the force plate located at the center of the plate, positive antero-posterior (AP) values indicated that the CoP position was located frontwards, the higher the value, the greater trunk leaned forwardly. Negative medio-lateral (ML) values indicated that the CoP position was closer to the non-amputated side for persons with amputation showing asymmetry in body weight distribution. For

able-bodied individuals, negative ML values indicated that the CoP position was closer to the dominant side.

The mean CoP positions, range and speed were calculated on the AP and ML axes. The CoP area corresponded to the ellipse that covered 90 % of the CoP excursion surface area. Finally, the root mean square of the free moment (T_z RMS) was also calculated from force plate data to quantify the rotational oscillation associated with postural balance (Dalleau et al., 2011, 2007).

Statistical analysis

Differences in outcomes between the two groups of unilateral lower limb amputees and non-amputees were tested by one-way ANOVAs on the postural and balance parameters. A Scheffé's post-hoc test was used because of the unequal group sizes. A *p*-value of 0.05 or less was considered as statistically significant. Factorial analysis was applied to identify the correlated body posture and standing balance variables. This approach was previously used by Sadeghi et al. (Sadeghi et al., 2000) to estimate the contribution of lower limb muscle power to gait. The variables with a factor loading of 0.7 or above were considered as significant. Statistical analyses were performed using Statistical Package for Social Sciences (SPSS) version 24/25 (IBM, Amrok, NY, USA).

RESULTS

Table 2 presents the postural body alignment results for all three groups. The only statistical differences were noted between the non-amputees and the transtibial groups. The transtibial amputees had a tendency to lean more forward with their trunk by 3.5° than the non-amputees (p = 0.028), and this was associated with an increase in dorsiflexion of the non-amputated ankle by

 3° (p =0.047). The transferoral amputees standing postural values varied substantially with

respect to the other groups, but not enough to be statistically significant from the others.

(Insert Table 2)

The standing balance parameters for all three groups are shown in Table 3. The ML CoP was closer to the non-amputated side in the transferoral group than in the transibial amputees (p = 0.034). The ML CoP in transferoral group was shifted by 27 mm to the non-amputated side compared to the dominant side in able-bodied groups (p = 0.042). Furthermore, the CoP was further anterior in the transibial amputees than both transferoral (p = 0.050) and able-bodied (p = 0.002) groups. This could be the result of a combined small trunk extension with a slight lateral flexed trunk, though not significantly greater than the other groups. Both amputee groups displayed a greater ML range (p = 0.005) and sway area (p = 0.025) than the non-amputee subjects; however, the latter groups were not statistically different from each other. This could reflect the ability of the amputees to maintain balance while leaning on their intact limb.

151 (Insert Table 3)

Figure 2 represents the evolution of the eigenvalues of the first 8 principal components (PC) for each group. Generally, all three curves follow a similar pattern. Factorial analysis indicated that the first 5 PCs have an eigenvalue above 1 explaining at least 86% of the total variance. However, there is a sharp decrease in the eigenvalues after the first two PCs. The latter accounts for 62%, 65% and 54% of the variance for the non-amputee, the transfemoral amputees and the

transtibial amputees respectively.

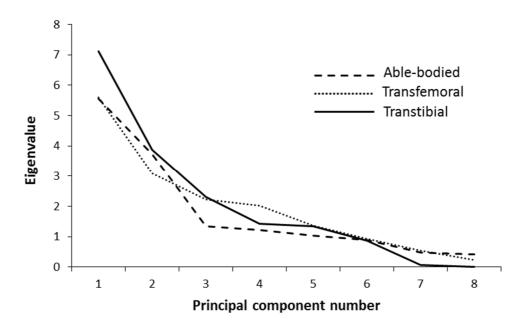


Table 4 depicts the first and the second PCs for each group. Based on the postural and balance parameters having a loading factor of 0.7 or above, three upright standing modalities were observed. Using the first PC which accounts for about 40% of the variance, the able-bodied group relies solely on body posture alignment parameters. Both trunk and all the lower limb joints are used to maintain upright stance. The transfemoral amputees favor exclusively a standing balance modality based on neuro-muscular control expressed by the range of their ML CoP excursion and free moment RMS to control their mean CoP position. The transtibial amputees exhibited a mixed standing modality comprising a synergy between postural and balance actions. Pelvis flexion, hip and knee angles of the amputated leg interact with the CoP speed in both ML and AP directions to regulate upright standing and balance.

The second PC explains about 20% of the variance (Table 4). It is interesting to note that ablebodied subjects essentially used a balance modality as a complementary mechanism to their postural alignment adjustments observed in the first PC. Lateral trunk flexion, the smallest

significant loading factor, is their only postural parameter. The transfemoral amputees rely on the amputated ankle and neuro-muscular control using CoP speed in both directions as a secondary modality for postural adjustments. The transtibial amputees rely on their non-amputated knee, and lateral trunk flexion to maintain their mean ML CoP position.

(Insert Table 4)

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DISCUSSION

The purpose of this study was to analyze postural performance from a combination of both body posture and standing balance parameters in unilateral lower limb amputees in comparison to nonamputee able-bodied controls. This study highlights three postural modalities specific for each group. Though the transfemoral amputees displayed slightly similar body posture alignment than non-amputees, this was achieved by a graeter loading on their non-amputated side combined with a backward CoP shift. The transtibial amputees had more anterior trunk flexion and dorsiflexion on the non-amputated side than the other groups. The transfermoral group tended to have a greater trunk flexion compared to able-bodied (2.7°, 0.2° respectively). A possible explanation for these results on trunk flexion could be related to a secondary adaptation postamputation in body posture alignment (Goujon-Pillet et al., 2008). The trunk has an important impact on human motion due to its mass (Leteneur et al., 2013). To maintain their respective standing stance, both amputee groups had to significantly increase their range of CoP in the ML direction combined with a greater sway area (Ku et al., 2014). These observations underline the need to include the functions of a subtalar joint in foot prosthesis designs (Allard et al., 1995) to maintain standing stability and improve recovery after foot placement errors during gait (Segal and Klute, 2014).

Generally, lower limb amputees load put more body weight on their non-amputated limb (Hlavackova et al., 2011; Rougier and Bergeau, 2009; Vrieling et al., 2008) as reported in this study. Buckley et al. (JG Buckley et al., 2002) identified the importance of the ankle in lower limb amputees to maintain balance during body movements in the sagittal plane to modulate ground reaction forces (Damavandi et al., 2009; Gillet et al., 2003). Experienced unilateral amputees with a high activity level compensate for the loss of an ankle by increasing body movements and loading in the non-amputated limb (Vrieling et al., 2008). However, Nederhand et al. (Nederhand et al., 2012) reported a non-significant loading asymmetry in favor of the nonamputated leg. Not only body posture was affected by the amputation level but decrease standing balance ability was also observed in amputees (John Buckley et al., 2002; Isakov et al., 1992). Some disagreement exists whether this difficulty lies in controlling the AP (John Buckley et al., 2002) or ML CoP sway as was observed in this study. Some report that transtibial amputees lack ankle control in their prosthetic limb leading to a lateral foot and ankle strategy (Curtze et al., 2016; Vrieling et al., 2008). In general, the non-amputee ankle compensates the lack of a foot and ankle strategy offered by the prosthetic limb (Curtze et al., 2012; Ku et al., 2014). These studies which involved either transfemoral or transtibial amputees or both groups support that body posture as well as standing balance are affected by the level of amputation. However, their relative contributions within each amputee group and even in non-amputees themselves remains unknown. This study was able to determine the relative contributions of body postural alignment and standing balance in unilateral transferoral and transibial amputees and in non-amputees. To our knowledge, no one has yet addressed this interaction in unilateral lower limb amputees. This

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could be explained in part by the fact that the balance contribution of each leg was not strongly coupled to weight-bearing in each leg in lower limb amputees (Nederhand et al., 2012). Furthermore, many studies based their understanding of standing balance modalities in relation to the amputated or non-amputated limb sides rather than to assess the relationships among sets of interrelated variables. In this study, the first and highest PC underlines the dominance of postural parameters in maintaining standing balance in adult non-amputees. The lack of interaction between body parameters and their relation to standing stability was previously reported in able-bodied girls (Nault et al., 2002) and in adult amputees (Nederhand et al., 2012). However, transferoral amputees essentially favor a standing balance modality. This could be attributed in part to lesser joint mobility on the prosthetic side. The transtibial amputees who displayed a mixed body postural and balance modality take advantage of the intact knee on the prosthetic side to maintain standing balance. These relationships between postural modalities and the level of amputation could partly be explained by the reduction in the active joint degrees of freedom due to the amputation level, and the more proximal the amputation is, the greater the need to rely on a standing balance modality. Postural assessment is a common application in clinics which aim to detect and reduce the risk of chronic conditions associated with poor posture attitudes (Vrieling et al., 2008). Clinicians could also include challenging balance disturbances as part of their routine rehabilitation program for unilateral transfemoral amputees where balance is largely impaired (Ku et al., 2014). These observations could be of interest in improving unilateral lower limb amputees' postural balance and be useful in assisting prosthetic alignment, in monitoring of patients with lower limb amputation (Rougier and Bergeau, 2009).

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While this work has provided insight into the interaction between body posture and standing balance in unilateral amputees, the results need to be interpreted with caution. The pattern of balance modalities was evaluated in upright quiet stance only. Different interactions between the level of amputation and balance modalities could be expected during perturbed balance or gait (Sadeghisani et al., 2016). Other activities of daily living could also rely on different balance modalities for successful postural control (Barnett et al., 2013). The use of two force plates could be beneficial in isolating the control and balance actions of the amputated and non-amputated limbs in unilateral amputees (Curtze et al., 2012) in relation to balance modalities (Sadeghi et al., 2001). Results of this present study suggest that postural reeducation programs should improve both postural and standing balance in persons with lower limb amputation. Future longitudinal investigations are needed to determine the control and balance actions of the amputated and nonamputated limbs in unilateral amputees (Curtze et al., 2012) in relation to balance modalities (Sadeghi et al., 2001) by the use of two force plates. Moreover, the participants of this study were males, which makes the generalization of these findings to females limited. Further studies are needed in males and females with amputation to ascertain sex-related differences in postural performance. A further study could assess the benefits of training programs on the ankle and Achilles tendon in improving balance. Recent research has investigated how Achilles tendon mechanical properties change in persons with lower limb amputation (Toumi et al., 2020) and that contribute to postural performance (Onambele et al., 2006). Finally, longitudinal studies are required to assess the impact of individual rehabilitation program on postural performance of persons with lower limb amputation including the interaction between body posture and standing balance parameters.

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CONCLUSION

Factorial analysis highlighted the interaction between body posture and standing balance parameters in unilateral transfemoral and transtibial amputees and able bodied. The non amputee group relied primarily on standing erect while maintaining balance as secondary adjustments. The transfemoral amputees' stance depended on balance control first and secondly by ankle adjustments on the non amputated side. The transtibial amputees exhibited a mixed modality of simultaneously maintaining upright stance and standing balance. It appears that active joint degrees of freedom reduction due to amputation level increases the need for a balance modality in unilateral amputees. These finding support the concept of individualizing rehabilitation programs according to the level of amputation. This study highlights that the level of amputation in persons with unilateral lower limb amputation needs to be considered as a clinical factor in postural balance rehabilitation. It is about adaptation of all techniques used by clinicians during rehabilitation program. These findings support the concept of individualizing rehabilitation programs according to the level of amputation. We believe that the knowledge translation of these results will positively impact clinical care in unilateral lower limb amputees.

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Tables

Table 1. Physical characteristics of the participants of the three groups ^a

	Able-bodied subjects (n=12)	Transfemoral amputees (n=9)	Transtibial amputees (n=8)	<i>P</i> -value
Age (years)	53.7 ± 21.5	59.2 ± 7.9	47.6 ± 20.4	0.43
Height (m)	1.77 ± 0.09	1.72 ± 0.06	1.74 ± 0.07	0.36
Body mass (kg)	78.0 ± 10.1	66.6 ± 11.7	71.0 ± 8.9	0.03
Adjusted BMI (with prosthetic) (kg/m²)	N/A	22.1 ± 4.0	23.0 ± 3.3	0.97
Underlying cause of amputation	N/A	Vascular n=6 Trauma n=3	Vascular n=3 Trauma n=5	N/A
Time since amputation (months)	N/A	135 ± 214	84 ± 159	0.59
Amputee activity score b	N/A	10±12	14±12	N/A

^a Mean ± SD, ^b Thresholds scores used in classifying the level of activity using amputee activity survey are inactive (-70 to -40), restricted (-40 to -10), average (-9 to +9), high (+10 to +29), and very high (+30 to +50)

Table2. Body posture parameters: joint angles for the able-bodied subjects, transfemoral and transtibial amputees. Data are reported as means \pm standard deviation for the dominant, the non-dominant, the amputated and the non-amputated sides respectively

	Able-bodied		Transfe	Transfemoral		tibial
	Dominant	Non-dominant	Non-amputated	Amputated	Non-amputated	Amputated
Ankle (°)	5.4 ± 0.7*	6.2 ± 0.7	7.4 ± 0.8	7.9 ± 0.8	8.4 ± 0.9*	5.5 ± 0.8
Knee (°)	2.8 ± 0.9	2.5 ± 0.7	0.5 ± 1.1	0.9 ± 0.9	-0.5 ± 1.1	2.9 ± 0.9
Hip (°)	-1.4 ± 0.8	-1.4 ± 0.9	-1.6 ± 0.9	1.6 ± 1.1	-0.4 ± 1.0	0.3 ± 1.1
Pelvis (flexion) (°)	-13.4 ± 2.1		-8.7 ± 2.5		-9.2 ± 2.5	
Trunk (flexion) (°)	0.2 ± 0.8 *		2.7 ± 0.9		3.7 ± 1.0*	
Trunk (lateral flexion) (°)	0.1 ± 0.5		0.8 ± 0.5		0.3 ± 0.6	

^{*} indicates a p < 0.05 between the able-bodied and the transtibial groups

Table 3. Standing balance parameters for the able-bodied subjects, transfemoral and transtibial

	Able-bodied	Transfemoral	Transtibial
CoP AP (mm)	60.9 ± 13.0†	44.6 ± 11.7 †‡	63.3 ± 17.3 ‡
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CoP ML (mm)	-10.5 ± 11.3 †	-38 ± 23.5 †‡	-18.5 ± 9.0 ‡
Range AP (mm)	28.0 ± 7.0	42.4 ± 14.6	39.4 ± 16.7
Range ML (mm)	19.0 ± 10.7 *	47.5 ± 20.2 *	45.3 ± 16.8 *

amputees. means ± standard deviation

Speed AP (mm/s)	2.7 ± 0.7	3.4 ± 1.6	3.3 ± 1.5
Speed ML (mm/s)	1.1 ± 0.5	1.7 ± 0.7	1.7 ± 0.6
Area (mm²)	143.8 ± 76.4 *	771.4 ± 122.9 *	636.6 ± 130.3 *
Tz RMS (Nm/kg)	0.009 ± 0.033	0.106 ± 0.038	0.022 ± 0.040

^{*} indicates a p < 0.05 between able-bodied and both amputee groups

Table 4. Loading factors of the first and second principal components (PC1/PC2) of the ablebodied subjects, transferoral and transtibial amputees. Bold text indicates loading factors above 0.7

	PC1/PC2	Able-bodied		Transfemoral		Transtibial	
		Dominant	Non-dominant	Non-amputated	Amputated	Non- amputated	Amputated
Ankle	PC1	-0.708	-0.035	-0.015	-0.048	0.176	0.055
	PC2	0.142	-0.180	0.217	-0.842	0.286	-0.237
Knee	PC1	0.854	0.592	-0.687	-0.063	-0.251	-0.897
	PC2	-0.082	0.255	0.101	-0.013	-0.823	-0.269
Hip	PC1	0.908	0.885	-0.339	0.277	0.038	-0.702

[†] indicates a p < 0.05 between transfemoral and able-bodied groups

 $[\]ddagger$ indicates a $p \le 0.05$ between transferoral and transibial groups

•	PC2	-0.099	0.006	0.227	0.075	-0.513	-0.163	
Pelvis flexion	PC1	0.0)95	0.16	0.163		-0.882	
·	PC2	-0.3	339	0.51	7	0.147		
Trunk (flexion)	PC1	0.1	.09	-0.115		0.160		
· · · · · · · · · · · · · · · · · · ·	PC2	-0.2	121	0.09	7	0.0	033	
Trunk (lateral flexion)	PC1	0.9)27	0.30	3	0.0	081	
	PC2	0.7	760	0.44	0	0.8	395	
Cop Ap	PC1	-0.0	012	-0.21	.3	0.:	215	
	PC2	-0.2	130	0.039		-0.215		
CoP ML	PC1	0.355		-0.858		0.112		
	PC2	-0.091		-0.092		0.817		
Range AP	PC1	-0.166		0.41	0.410		573	
	PC2	0.829		0.530		0.526		
Range ML	PC1	0.093		0.77	0.775		160	
	PC2	0.9)13	0.32	2	0	572	
Speed AP	PC1	-0.4	450	0.03	4	0.8	365	
	PC2	0.6	574	0.86	5	0.:	364	
Speed ML	PC1	-0.3	311	0.299		0.748		
	PC2	0.7	789	0.78	3	0.0	523	
Area	PC1	-0.2	102	0.50	0	0.:	381	
•	PC2	0.9)16	0.60	1	0.4	1 71	

Tz	PC1	0.568	0.958	-0.422
	PC2	0.588	0.120	-0.064

400	Captions to illustrations
401	
402	Figure. 1 Schematic representation of the trunk, pelvis, hip, knee and ankle and the
403	corresponding trunk and joint sagittal angles.
404	
405	Figure. 2 Eigenvalues of the first 8 principal component of the able-bodied subjects (AB), and
406	the transfemoral (TF) and the transtibial (TT) amputees.